

# Multivariate Cryptography

# Postquantum Crypto Minischool

Ruben Niederhagen

July 12, 2022



# **Quantum Computing**



#### **History**:

#### 1981 Feynman introduces "quantum simulation".



- 1981 Feynman introduces "quantum simulation".
- 1985 Universal "quantum computer" proposed by Deutsch.



- 1981 Feynman introduces "quantum simulation".
- 1985 Universal "quantum computer" proposed by Deutsch.
- 1994/96 First practically relevant algorithms by Shor and Grover.
- 1997 First practical experiments.

- 1981 Feynman introduces "quantum simulation".
- 1985 Universal "quantum computer" proposed by Deutsch.
- 1994/96 First practically relevant algorithms by Shor and Grover.
- 1997 First practical experiments.
- 1998 First two-qubit quantum computer.



- 1981 Feynman introduces "quantum simulation".
- 1985 Universal "quantum computer" proposed by Deutsch.
- 1994/96 First practically relevant algorithms by Shor and Grover.
- 1997 First practical experiments.
- 1998 First two-qubit quantum computer.
- 2001 First seven-qubit quantum computer.



1981	Feynman introduces "quantum simulation".
1985	Universal "quantum computer" proposed by Deutsch.
1994/96	First practically relevant algorithms by Shor and Grover.
1997	First practical experiments.
1998	First two-qubit quantum computer.
2001	First seven-qubit quantum computer.
	Many technical and theoretical improvements.



1981	Feynman introduces "quantum simulation".
1985	Universal "quantum computer" proposed by Deutsch.
1994/96	First practically relevant algorithms by Shor and Grover.
1997	First practical experiments.
1998	First two-qubit quantum computer.
2001	First seven-qubit quantum computer.
	Many technical and theoretical improvements.
2012	D-Waves 84 qubit quantum annealer (non-universal).



#### **History**:

1981	Feynman introduces "quantum simulation".
1985	Universal "quantum computer" proposed by Deutsch.
1994/96	First practically relevant algorithms by Shor and Grover.
1997	First practical experiments.
1998	First two-qubit quantum computer.
2001	First seven-qubit quantum computer.
	Many technical and theoretical improvements.
2012	D-Waves 84 qubit quantum annealer (non-universal).
	Further technical improvements.

1/54

1981	Feynman introduces "quantum simulation".
1985	Universal "quantum computer" proposed by Deutsch.
1994/96	First practically relevant algorithms by Shor and Grover.
1997	First practical experiments.
1998	First two-qubit quantum computer.
2001	First seven-qubit quantum computer.
	Many technical and theoretical improvements.
2012	D-Waves 84 qubit quantum annealer (non-universal).
	Further technical improvements.
May 2017	IBM introduces 17-qubit quantum computer.



#### **History**:

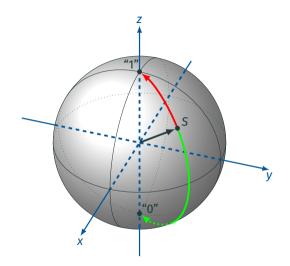
1981	Feynman introduces "quantum simulation".
1985	Universal "quantum computer" proposed by Deutsch.
1994/96	First practically relevant algorithms by Shor and Grover.
1997	First practical experiments.
1998	First two-qubit quantum computer.
2001	First seven-qubit quantum computer.
	Many technical and theoretical improvements.
2012	D-Waves 84 qubit quantum annealer (non-universal).
	Further technical improvements.
May 2017	IBM introduces 17-qubit quantum computer.
March 2018	Google announces 72-qubit quantum computer.

1/54

1981	Feynman introduces "quantum simulation".
1985	Universal "quantum computer" proposed by Deutsch.
1994/96	First practically relevant algorithms by Shor and Grover.
1997	First practical experiments.
1998	First two-qubit quantum computer.
2001	First seven-qubit quantum computer.
	Many technical and theoretical improvements.
2012	D-Waves 84 qubit quantum annealer (non-universal).
	Further technical improvements.
May 2017	IBM introduces 17-qubit quantum computer.
March 2018	Google announces 72-qubit quantum computer.
Jan. 2019	First commercial quantum computer "IBM Q System One".



# **Qubits: Superposition**

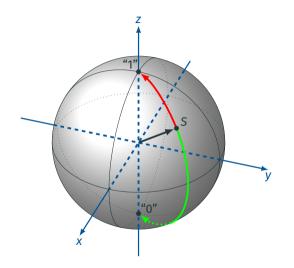


#### Visualization:

- Point on the surface of a sphere.
- At measurement (in regard to some base), the qubit "snaps" into position "0" or "1".



# **Qubits: Superposition**



#### Visualization:

- Point on the surface of a sphere.
- At measurement (in regard to some base), the qubit "snaps" into position "0" or "1".

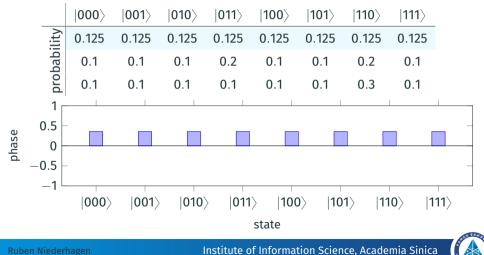
### Mathematical:

- Two-dimensional complex vector space,
- written in Braket-Notation, e.g,  $|1\rangle$ ,  $|0\rangle$ ,  $\sqrt{\frac{1}{2}}(|0\rangle + |1\rangle)$ .



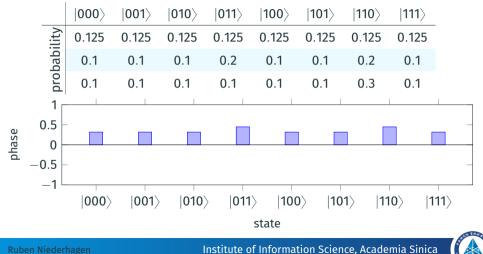
# **Qubits: Entanglement**

Example – System of 3 qubits:



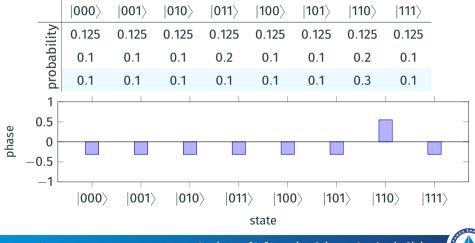
# **Qubits: Entanglement**

Example – System of 3 qubits:



# **Qubits: Entanglement**

Example – System of 3 qubits:



#### Grover's Algorithm:

• Search in "unsorted database" of N entries in  $O(\sqrt{N})$  steps.



#### Grover's Algorithm:

- Search in "unsorted database" of N entries in  $O(\sqrt{N})$  steps.
- Find *n*-bit key using  $O(\sqrt{2^n}) = O(2^{n/2})$  instead of  $O(2^n)$  operations.



#### Grover's Algorithm:

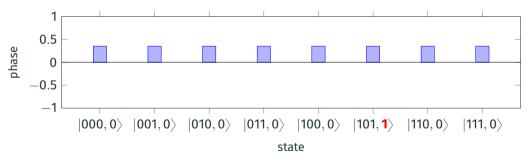
- Search in "unsorted database" of N entries in  $O(\sqrt{N})$  steps.
- Find *n*-bit key using  $O(\sqrt{2^n}) = O(2^{n/2})$  instead of  $O(2^n)$  operations.
- Quadratic speedup of brute-force attacks.



#### Main steps:

• Phase Inversion:

Invert the phase of states based on a control bit.

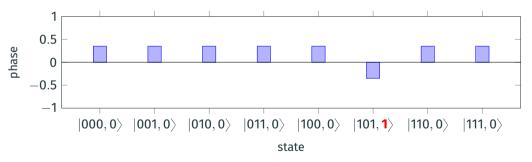




#### Main steps:

• Phase Inversion:

Invert the phase of states based on a control bit.



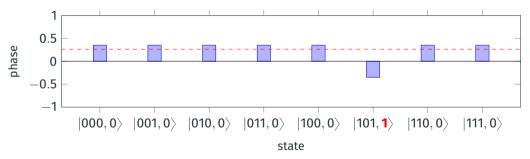


#### Main steps:

• Phase Inversion:

Invert the phase of states based on a control bit.

• Inversion about the Average: Invert the complex phase around the average.



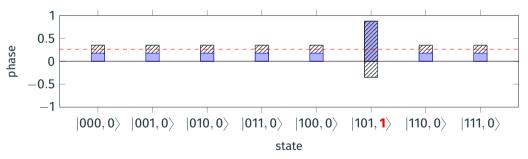


#### Main steps:

• Phase Inversion:

Invert the phase of states based on a control bit.

#### • Inversion about the Average: Invert the complex phase around the average.



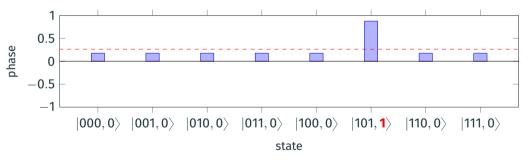


#### Main steps:

• Phase Inversion:

Invert the phase of states based on a control bit.

#### • Inversion about the Average: Invert the complex phase around the average.



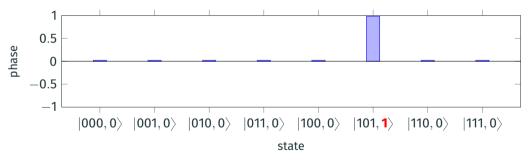


#### Main steps:

• Phase Inversion:

Invert the phase of states based on a control bit.

• Inversion about the Average: Invert the complex phase around the average. • Repeat  $\sqrt{2^n}$  times! This gives the quadratic speedup.





#### Approach:

Implement the problem as function  $f : (x_1, ..., x_n) \mapsto y$ with  $f(\vec{x_l}) = 1$  for the unknown "correct" input  $\vec{x_l}$ and  $f(\vec{x}) = 0$  for all other inputs  $\vec{x}$ .

6/54

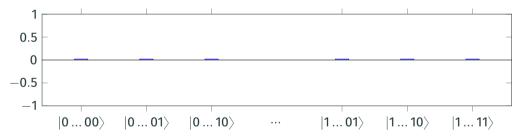
#### Approach:

Implement the problem as function  $f : (x_1, ..., x_n) \mapsto y$ with  $f(\vec{x_l}) = 1$  for the unknown "correct" input  $\vec{x_l}$ and  $f(\vec{x}) = 0$  for all other inputs  $\vec{x}$ .

Grover uses f as sub-function; f is called  $\sqrt{2^n}$  times. At the beginning all n qubits are in equally distributed superposition, at the end the correct solution  $\vec{x_l}$  is measured with high probability.

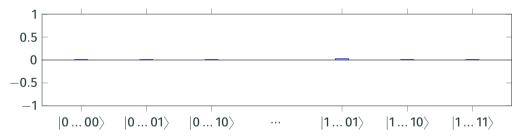


Example:  $f(x) \mapsto AES128("<DOCTYPE html>", x) = 0x45 0x59 ... 0xA1$ 



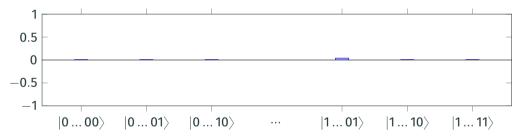


Example:  $f(x) \mapsto AES128("<DOCTYPE html>", x) = 0x45 0x59 ... 0xA1$ 





Example:  $f(x) \mapsto AES128("<DOCTYPE html>", x) = 0x45 0x59 ... 0xA1$ 



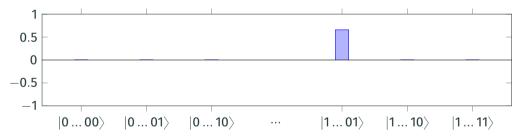


Example:  $f(x) \mapsto \text{AES128}(\text{``<DOCTYPE html>'', } x) = 0x45 0x59 \dots 0xA1$ 



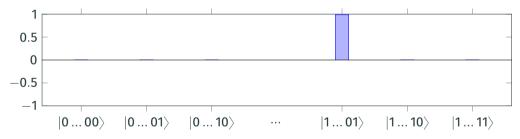


Example:  $f(x) \mapsto \text{AES128}(\text{``<DOCTYPE html>'', }x) = 0x45 0x59 \dots 0xA1$ 





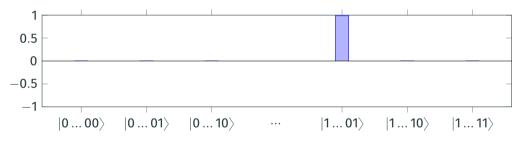
Example:  $f(x) \mapsto AES128("<DOCTYPE html>", x) = 0x45 0x59 ... 0xA1$ 





Example:  $f(x) \mapsto \text{AES128}(\text{``<DOCTYPE html>'', } x) = 0x45 0x59 \dots 0xA1$ 

State space of entangled qubits:



About  $\sqrt{2^{128}} = 2^{64}$  iterations are necessary.



#### Shor's Algorithm:

Solves the "hidden-subgroup problem" in finite abelian groups.



### Shor's Algorithm:

Solves the "hidden-subgroup problem" in finite abelian groups.

### A very efficient algorithm for very specific problems:

Solves the *integer factorisation* and *discrete logarithm* problem in polynomial time.



### **Goal: Factor** *N*.

1. Pick a random number  $a \leq N$ .



### **Goal: Factor** *N*.

- 1. Pick a random number  $a \leq N$ .
- 2. If  $gcd(a, N) \neq 1$ , return gcd(a, N), else continue.

### Goal: Factor N.

- 1. Pick a random number  $a \leq N$ .
- 2. If  $gcd(a, N) \neq 1$ , return gcd(a, N), else continue.
- 3. Find the period r of  $a \mod N$ , i.e., the smallest integer r such that

 $a^r \equiv 1 \mod N$ .

9/54

### Goal: Factor N.

- 1. Pick a random number  $a \leq N$ .
- 2. If  $gcd(a, N) \neq 1$ , return gcd(a, N), else continue.
- 3. Find the period r of  $a \mod N$ , i.e., the smallest integer r such that

 $a^r \equiv 1 \mod N$ .

4. If r is odd or if  $a^{r/2} + 1 \equiv 0 \pmod{N}$ , go back to step 1.



### Goal: Factor N.

- 1. Pick a random number  $a \leq N$ .
- 2. If  $gcd(a, N) \neq 1$ , return gcd(a, N), else continue.
- 3. Find the period r of  $a \mod N$ , i.e., the smallest integer r such that

 $a^r \equiv 1 \mod N$ .

- 4. If r is odd or if  $a^{r/2} + 1 \equiv 0 \pmod{N}$ , go back to step 1.
- 5. We have

 $a^{r} - 1 = kN$ 



### Goal: Factor N.

- 1. Pick a random number  $a \leq N$ .
- 2. If  $gcd(a, N) \neq 1$ , return gcd(a, N), else continue.
- 3. Find the period r of  $a \mod N$ , i.e., the smallest integer r such that

 $a^r \equiv 1 \mod N$ .

- 4. If r is odd or if  $a^{r/2} + 1 \equiv 0 \pmod{N}$ , go back to step 1.
- 5. We have

$$a^{r} - 1 = kN$$
  
 $(a^{r/2} + 1)(a^{r/2} - 1) = kN.$ 



9/54

### Goal: Factor N.

- 1. Pick a random number  $a \leq N$ .
- 2. If  $gcd(a, N) \neq 1$ , return gcd(a, N), else continue.
- 3. Find the period r of  $a \mod N$ , i.e., the smallest integer r such that

 $a^r \equiv 1 \mod N$ .

- 4. If r is odd or if  $a^{r/2} + 1 \equiv 0 \pmod{N}$ , go back to step 1.
- 5. We have

$$a^{r} - 1 = kN$$
  
 $(a^{r/2} + 1)(a^{r/2} - 1) = kN.$ 

Compute the non-trivial factor  $gcd(a^{r/2} \pm 1, N)$  of *N*.



### Goal: Factor N.

- 1. Pick a random number  $a \leq N$ .
- 2. If  $gcd(a, N) \neq 1$ , return gcd(a, N), else continue.
- 3. Find the period r of  $a \mod N$ , i.e., the smallest integer r such that

 $a^r \equiv 1 \mod N$ .

- 4. If r is odd or if  $a^{r/2} + 1 \equiv 0 \pmod{N}$ , go back to step 1.
- 5. We have

$$a^r - 1 = kN$$
  
 $(a^{r/2} + 1)(a^{r/2} - 1) = kN.$ 

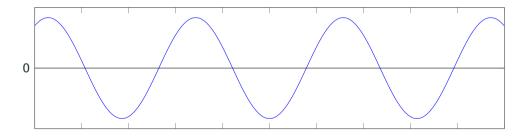
Compute the non-trivial factor  $gcd(a^{r/2} \pm 1, N)$  of *N*.



9/54

### Quantum algorithm for finding periods:

Idea: perform a Quantum Fourier Transform (QFT) to measure the period.

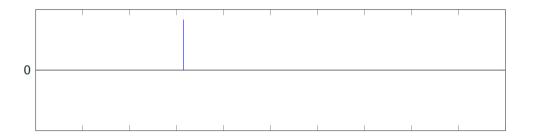


Institute of Information Science, Academia Sinica



### Quantum algorithm for finding periods:

Idea: perform a Quantum Fourier Transform (QFT) to measure the period.





### Shor's Algorithm:

Unfortunately, current **asymmetric cryptography** is based on the integer factorisation and the discrete logarithm problem.



### Shor's Algorithm:

Unfortunately, current **asymmetric cryptography** is based on the integer factorisation and the discrete logarithm problem.

- Integer factorisation in polynomial time:
  - $\Rightarrow$  breaks RSA, ...



11/54

### Shor's Algorithm:

Unfortunately, current **asymmetric cryptography** is based on the integer factorisation and the discrete logarithm problem.

- Integer factorisation in polynomial time:  $\Rightarrow$  breaks RSA, ...
- Discrete logarithm in polynomial time:  $\Rightarrow$  breaks DH, DSA; ECC: ECDH, ECDSA, ...



### Shor's Algorithm:

Unfortunately, current **asymmetric cryptography** is based on the integer factorisation and the discrete logarithm problem.

- Integer factorisation in polynomial time:  $\Rightarrow$  breaks RSA, ...
- Discrete logarithm in polynomial time:  $\Rightarrow$  breaks DH, DSA; ECC: ECDH, ECDSA, ...
- $\Rightarrow$  We need new crypto to defend against quantum computers!



#### Myths:

Quantum computers...

 do not compute all solution paths in parallel and do not instantly deliver the correct solution!

12/54

#### Myths:

Quantum computers...

- do not compute all solution paths in parallel and do not instantly deliver the correct solution!
- do **not** help much at NP-hard problems!



#### Myths:

Quantum computers...

- do not compute all solution paths in parallel and do not instantly deliver the correct solution!
- do not help much at NP-hard problems!
- do not solve the "traveling salesmen problem"! complexity: n! for n cities solvable today: 20 cities; 20! ≈ 2<sup>61</sup> operations using Grover: 33 cities; √33! ≈ 2<sup>61</sup> quantum operations



#### Facts:

Quantum computers...

• can accelerate certain computations.



#### Facts:

Quantum computers...

- can accelerate certain computations.
- threaten symmetric cryptography (Grover):
  - $\Rightarrow$  Double key length!
  - $\Rightarrow$  256-bit keys for AES.



#### Facts:

Quantum computers...

- can accelerate certain computations.
- threaten symmetric cryptography (Grover):
  - $\Rightarrow$  Double key length!
  - $\Rightarrow$  256-bit keys for AES.
- break wide-spread asymmetric cryptography (Shor):
  - $\Rightarrow$  The end for RSA, ECC, DH, ECDH, DSA, ECDSA..!
  - $\Rightarrow$  Alternatives are in preparation.



### Technological Challenges:

• Keep entanglement and superposition stable; qubit state needs to be stable but easy to manipulate.



### **Technological Challenges:**

 Keep entanglement and superposition stable; qubit state needs to be stable but easy to manipulate.
 ⇒ Error correction on qubits.



- Keep entanglement and superposition stable; qubit state needs to be stable but easy to manipulate.
   ⇒ Error correction on gubits.
  - $\Rightarrow$  Requires several (many?) physical qubits for one logical qubit.



- Keep entanglement and superposition stable; qubit state needs to be stable but easy to manipulate.
   ⇒ Error correction on gubits.
  - $\Rightarrow$  Requires several (many?) physical qubits for one logical qubit.
- Map algorithms efficiently to hardware.



- Keep entanglement and superposition stable;
  - qubit state needs to be stable but easy to manipulate.
  - $\Rightarrow$  Error correction on qubits.
  - $\Rightarrow$  Requires several (many?) physical qubits for one logical qubit.
- Map algorithms efficiently to hardware.
  - $\Rightarrow$  Match instruction sets.



### **Technological Challenges:**

- Keep entanglement and superposition stable;
  - qubit state needs to be stable but easy to manipulate.
  - $\Rightarrow$  Error correction on qubits.
  - $\Rightarrow$  Requires several (many?) physical qubits for one logical qubit.
- Map algorithms efficiently to hardware.
  - $\Rightarrow$  Match instruction sets.
  - $\Rightarrow$  Gates operate only on neighbouring qubits?

14/54

### **Technological Challenges:**

- Keep entanglement and superposition stable;
  - qubit state needs to be stable but easy to manipulate.
  - $\Rightarrow$  Error correction on qubits.
  - $\Rightarrow$  Requires several (many?) physical qubits for one logical qubit.
- Map algorithms efficiently to hardware.
  - $\Rightarrow$  Match instruction sets.
  - $\Rightarrow$  Gates operate only on neighbouring qubits?
- Scale quantum computer size.



14/54

- Keep entanglement and superposition stable;
  - qubit state needs to be stable but easy to manipulate.
  - $\Rightarrow$  Error correction on qubits.
  - $\Rightarrow$  Requires several (many?) physical qubits for one logical qubit.
- Map algorithms efficiently to hardware.
  - $\Rightarrow$  Match instruction sets.
  - $\Rightarrow$  Gates operate only on neighbouring qubits?
- Scale quantum computer size.
  - $\Rightarrow$  Relevant algorithms require 1,500 to 6,000 logical qubits.



### **Questions:**

**Response:** 

• Are quantum computers really coming?



### **Questions:**

• Are quantum computers really coming?

#### **Response:**

The majority of experts says: "Yes!"



### **Questions:**

**Response:** 

- Are quantum computers really coming?
- When do *large* quantum computers arrive?



### **Questions:**

- Are quantum computers really coming?
- When do *large* quantum computers arrive?

### **Response:**

Unclear...

Likelihood to break RSA-2048 in 24h\*:

- 2026? (< 1%)
- 2031? (< 5%)
- 2036? ( $\approx$  50%)
- 2041? (> 70%)
- 2051? (> 95%)

Since 10 years: "In 15 years?"

\* Mosca and Piani, Quantum Threat Timeline Report, 2021



### **Questions:**

- Are quantum computers really coming?
- When do *large* quantum computers arrive?
- What schemes are going to fall first?

#### **Response:**



### **Questions:**

- Are quantum computers really coming?
- When do *large* quantum computers arrive?
- What schemes are going to fall first?

### **Response:**

- ECC?
- RSA?
- ...
- AES-128?



### **Questions:**

- Are quantum computers really coming?
- When do *large* quantum computers arrive?
- What schemes are going to fall first?
- When do we need to start worry?

#### **Response:**



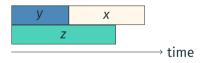
## **Questions:**

- Are quantum computers really coming?
- When do *large* quantum computers arrive?
- What schemes are going to fall first?
- When do we need to start worry?

#### **Response:**

#### Mosca:

- Data must be protected for *x* years.
- We need y years to migrate to secure schemes.
- It takes *z* years before quantum computers break current crypto.





### **Questions:**

- Are quantum computers really coming?
- When do *large* quantum computers arrive?
- What schemes are going to fall first?
- When do we need to start worry?
- What are the alternatives?

#### **Response:**



### **Questions:**

- Are quantum computers really coming?
- When do *large* quantum computers arrive?
- What schemes are going to fall first?
- When do we need to start worry?
- What are the alternatives?

#### **Response:**

Post-Quantum Cryptography...



## Post-Quantum Cryptography

## Main PQC families:

- Lattice-based cryptography (e.g., NTRU, Kyber, Dilthium)
- Code-based cryptography (e.g., Classic McEliece, BIKE, HQC)
- Multivariate-quadratic-equations cryptography (e.g., Rainbow, UOV)
- Hash based cryptography (e.g., XMSS, LMS, SPHINCS+)
- Isogeny-based cryptography (e.g., SIDH, SIKE)

For these systems no efficient usage of Shor's algorithm is known. Grover's algorithm has to be taken into account when choosing key sizes.



## Post-Quantum Cryptography

## Main PQC families:

- Lattice-based cryptography (e.g., NTRU, Kyber, Dilthium)
- Code-based cryptography (e.g., Classic McEliece, BIKE, HQC)
- Multivariate-quadratic-equations cryptography (e.g., Rainbow, UOV)
- Hash based cryptography (e.g., XMSS, LMS, SPHINCS+)
- Isogeny-based cryptography (e.g., SIDH, SIKE)

For these systems no efficient usage of Shor's algorithm is known. Grover's algorithm has to be taken into account when choosing key sizes.



### **Underlying problem:**

Solving a system of *m* multivariate polynomial equations in *n* variables over  $\mathbb{F}_q$  is called the MP problem.



## Underlying problem:

Solving a system of *m* multivariate polynomial equations in *n* variables over  $\mathbb{F}_q$  is called the MP problem.

### Example

$$\begin{aligned} & 5x_1^3x_2x_3^2+17x_2^4x_3+23x_1^2x_2^4+13x_1+12x_2+5=0\\ & 12x_1^2x_2^3x_3+15x_1x_3^3+25x_2x_3^3+5x_1+6x_3+12=0\\ & 28x_1x_2x_3^4+14x_2^3x_3^2+16x_1x_3+32x_2+7x_3+10=0 \end{aligned}$$



### **Underlying problem:**

Solving a system of *m* multivariate polynomial equations in *n* variables over  $\mathbb{F}_q$  is called the MP problem.

### Example

$$\begin{aligned} & 5x_1^3x_2x_3^2+17x_2^4x_3+23x_1^2x_2^4+13x_1+12x_2+5=0\\ & 12x_1^2x_2^3x_3+15x_1x_3^3+25x_2x_3^3+5x_1+6x_3+12=0\\ & 28x_1x_2x_3^4+14x_2^3x_3^2+16x_1x_3+32x_2+7x_3+10=0 \end{aligned}$$

#### Hardness:

The MP problem is an NP-complete problem even for multivariate *quadratic* systems and q = 2.



### **Underlying problem:**

Solving a system of *m* multivariate polynomial equations in *n* variables over  $\mathbb{F}_q$  is called the MP problem.

#### Example

$$x_{3}x_{2} + x_{2}x_{1} + x_{2} + x_{1} + 1 = 0$$
$$x_{3}x_{1} + x_{3}x_{2} + x_{3} + x_{1} = 0$$
$$x_{3}x_{2} + x_{3}x_{1} + x_{3} + x_{2} = 0$$

#### Hardness:

The MP problem is an NP-complete problem even for multivariate *quadratic* systems and q = 2.



#### **Notation:**

For a set  $f = (f_1, ..., f_m)$  of m quadratic polynomials in n variables over  $\mathbb{F}_2$ , let  $f(x) = (f_1(x), ..., f_m(x)) \in \mathbb{F}_2^m$  be the solution vector of the evaluation of f for a vector  $x \in \mathbb{F}_2^n$ .



### **Notation:**

For a set  $f = (f_1, ..., f_m)$  of m quadratic polynomials in n variables over  $\mathbb{F}_2$ , let  $f(x) = (f_1(x), ..., f_m(x)) \in \mathbb{F}_2^m$  be the solution vector of the evaluation of f for a vector  $x \in \mathbb{F}_2^n$ .

## Definition ( $\mathcal{MQ}$ over $\mathbb{F}_2$ )

Let  $\mathcal{MQ}(\mathbb{F}_2^n, \mathbb{F}_2^m)$  be the set of all systems of quadratic equations in *n* variables and *m* equations over  $\mathbb{F}_2$ .

We call one element  $P \in \mathcal{MQ}(\mathbb{F}_2^n, \mathbb{F}_2^m)$  an instance of  $\mathcal{MQ}$  over  $\mathbb{F}_2$ .



## Solvable in NP-time:

The following non-deterministic polynomial-time algorithm solves  $\mathcal{MQ}$ - $\mathbb{F}_2$  for a given system of equations:

- 1. Guess an assignment A for  $(x_0, \dots, x_{n-1}) \in \{0, 1\}^n$ .
- 2. Check if all *m* equations are satisfied by A.
- 3. Output A or go to an infinity loop, respectively.



## Solvable in NP-time:

The following non-deterministic polynomial-time algorithm solves  $\mathcal{MQ}$ - $\mathbb{F}_2$  for a given system of equations:

- 1. Guess an assignment A for  $(x_0, \dots, x_{n-1}) \in \{0, 1\}^n$ .
- 2. Check if all *m* equations are satisfied by A.  $\leftarrow$  **polynomial cost**
- 3. Output A or go to an infinity loop, respectively.



**NP-hardness:** 

Reduce 3-SAT to  $\mathcal{MQ}$ - $\mathbb{F}_2$ .

 $(b_1 \lor \neg b_2 \lor b_3) \land (b_1 \lor b_2) \land (\neg b_4)$ 



#### **NP-hardness:**

Reduce 3-SAT to  $\mathcal{MQ}$ - $\mathbb{F}_2$ .

 $(b_1 \lor \neg b_2 \lor b_3) \land (b_1 \lor b_2) \land (\neg b_4)$ 

**Replace all**  $(l_i \lor l_j)$  **by**  $(l_i + l_j + l_i l_j)$ , **replace all**  $(l_i \lor l_j \lor l_k)$  **by**  $(l_i + l_j + l_k + l_i l_j + l_i l_k + l_j l_k + l_i l_j l_k)$ :  $(b_1 + \neg b_2 + b_3 + b_1 \neg b_2 + b_1 b_3 + \neg b_2 b_3 + b_1 \neg b_2 b_3) \land (b_1 + b_2 + b_1 b_2) \land (\neg b_4)$ 



#### **NP-hardness:**

Reduce 3-SAT to  $\mathcal{MQ}$ - $\mathbb{F}_2$ .

 $(b_1 \lor \neg b_2 \lor b_3) \land (b_1 \lor b_2) \land (\neg b_4)$ 

**Replace** all  $b_i$  by  $x_i$  and all  $\neg b_i$  by  $(1 - x_i)$ :

$$\left(x_{1} + (1 - x_{2}) + x_{3} + x_{1}(1 - x_{2}) + x_{1}x_{3} + (1 - x_{2})x_{3} + x_{1}(1 - x_{2})x_{3}\right) \land (x_{1} + x_{2} + x_{1}x_{2}) \land (1 - x_{4})$$



#### **NP-hardness:**

Reduce 3-SAT to  $\mathcal{MQ}$ - $\mathbb{F}_2$ .

 $(b_1 \lor \neg b_2 \lor b_3) \land (b_1 \lor b_2) \land (\neg b_4)$ 

Construct an equation  $e_i : c_i = 1$  for each clause  $c_i$ :

$$\begin{aligned} x_1 + (1 - x_2) + x_3 + x_1(1 - x_2) + x_1x_3 + (1 - x_2)x_3 + x_1(1 - x_2)x_3 &= 1 \\ x_1 + x_2 + x_1x_2 &= 1 \\ 1 - x_4 &= 1 \end{aligned}$$



#### **NP-hardness:**

Reduce 3-SAT to  $\mathcal{MQ}$ - $\mathbb{F}_2$ .

$$(b_1 \lor \neg b_2 \lor b_3) \land (b_1 \lor b_2) \land (\neg b_4)$$

### Expand all terms:

$$x_1x_2 + x_1x_2x_3 + x_2x_3 + x_2 = 0$$
$$x_1x_2 + x_1 + x_2 + 1 = 0$$
$$x_4 = 0$$



#### **NP-hardness:**

Reduce 3-SAT to  $\mathcal{MQ}$ - $\mathbb{F}_2$ .

$$(b_1 \lor \neg b_2 \lor b_3) \land (b_1 \lor b_2) \land (\neg b_4)$$

## Iteratively add a new equation for each remaining cubic term:

$$x_1x_2 + x_5x_3 + x_2x_3 + x_2 = 0$$
  

$$x_1x_2 + x_1 + x_2 + 1 = 0$$
  

$$x_4 = 0$$
  

$$x_5 = x_1x_2$$



#### **NP-hardness:**

Reduce 3-SAT to  $\mathcal{MQ}$ - $\mathbb{F}_2$ .

$$(b_1 \lor \neg b_2 \lor b_3) \land (b_1 \lor b_2) \land (\neg b_4)$$

### Final equation system:

$$x_{3}x_{5} + x_{2}x_{3} + x_{2} + x_{5} = 0$$
$$x_{1} + x_{2} + x_{5} + 1 = 0$$
$$x_{4} = 0$$
$$x_{1}x_{2} + x_{5} = 0$$



#### **NP-hardness:**

Reduce 3-SAT to  $\mathcal{MQ}$ - $\mathbb{F}_2$ .

$$(b_1 \lor \neg b_2 \lor b_3) \land (b_1 \lor b_2) \land (\neg b_4)$$

### Final equation system:

$$x_{3}x_{5} + x_{2}x_{3} + x_{2} + x_{5} = 0$$
$$x_{1} + x_{2} + x_{5} + 1 = 0$$
$$x_{4} = 0$$
$$x_{1}x_{2} + x_{5} = 0$$

 $3\text{-SAT} \leq_{\text{poly}} \mathcal{MQ}\text{-}\mathbb{F}_2$ 

2022.07.12 Ruben Niederhagen

Institute of Information Science, Academia Sinica



#### Theorem

 $\mathcal{MQ}\text{-}\mathbb{F}_2$  is NP-complete.

## Proof.

We showed that  $\mathcal{MQ}$ - $\mathbb{F}_2 \in NP$  and 3-SAT  $\leq_{poly} \mathcal{MQ}$ - $\mathbb{F}_2$ .

Thus,  $\mathcal{MQ}\text{-}\mathbb{F}_2$  is NP-complete.



# Cryptosystems



Institute of Information Science, Academia Sinica

## Cryptographic hash function:

• Pre-image resistance:

Given a hash h it should be difficult to find any message m such that h = H(m).

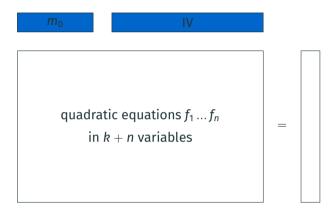
• Second pre-image resistance:

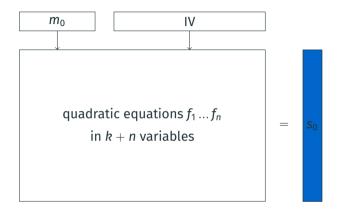
Given an input  $m_0$  it should be difficult to find another input  $m_1$  such that  $m_0 \neq m_1$ and  $H(m_0) = H(m_1)$ .

• Collision resistance:

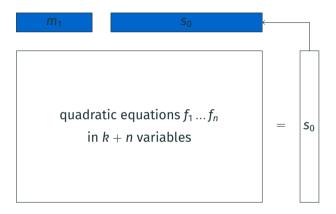
It should be difficult to find two different messages  $m_0$  and  $m_1$  such that that  $m_0 \neq m_1$  and  $H(m_0) = H(m_1)$ .



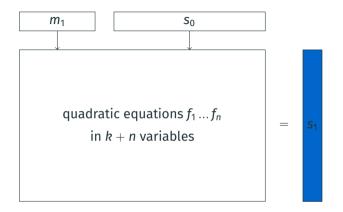




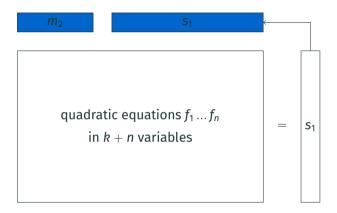




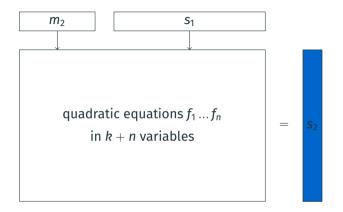




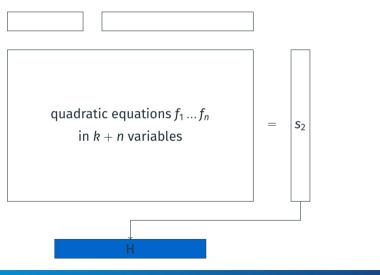












#### **Problem: Easy to find collisions!**

$$\begin{split} \mathsf{f}(m,\mathsf{IV}) &= \mathsf{f}(m',\mathsf{IV}')\\ \mathsf{f}(m,\mathsf{IV}) &= \mathsf{f}(m+a,\mathsf{IV}+b)\\ \mathsf{f}(m,\mathsf{IV}) - \mathsf{f}(m+a,\mathsf{IV}+b) &= \mathsf{0} \end{split}$$



#### **Problem: Easy to find collisions!**

$$\begin{split} \mathsf{f}(m,\mathsf{IV}) &= \mathsf{f}(m',\mathsf{IV}')\\ \mathsf{f}(m,\mathsf{IV}) &= \mathsf{f}(m+a,\mathsf{IV}+b)\\ \mathsf{f}(m,\mathsf{IV}) - \mathsf{f}(m+a,\mathsf{IV}+b) &= 0 \end{split}$$

$$f_0(\mathbf{x}) = c_{2,1}x_2x_1 + c_{2,0}x_2x_0 + c_{1,0}x_1x_0 + c_2x_2 + c_1x_1 + c_0x_0 + c$$



#### **Problem: Easy to find collisions!**

$$\begin{split} \mathsf{f}(m,\mathsf{IV}) &= \mathsf{f}(m',\mathsf{IV}')\\ \mathsf{f}(m,\mathsf{IV}) &= \mathsf{f}(m+a,\mathsf{IV}+b)\\ \mathsf{f}(m,\mathsf{IV}) - \mathsf{f}(m+a,\mathsf{IV}+b) &= \mathsf{0} \end{split}$$

$$f_0(x) = c_{2,1}x_2x_1 + c_{2,0}x_2x_0 + c_{1,0}x_1x_0 + c_2x_2 + c_1x_1 + c_0x_0 + c$$
  

$$f_0(x) - f_0(x + a) = c_{2,1}x_2x_1 + c_{2,0}x_2x_0 + c_{1,0}x_1x_0 + c_2x_2 + c_1x_1 + c_0x_0 + c$$
  

$$-(c_{2,1}(x_2 + a_2)(x_1 + a_1) + \dots + c_2(x_2 + a_2) + \dots + c)$$



### **Problem: Easy to find collisions!**

$$\begin{split} \mathsf{f}(m,\mathsf{IV}) &= \mathsf{f}(m',\mathsf{IV}')\\ \mathsf{f}(m,\mathsf{IV}) &= \mathsf{f}(m+a,\mathsf{IV}+b)\\ \mathsf{f}(m,\mathsf{IV}) - \mathsf{f}(m+a,\mathsf{IV}+b) &= \mathsf{0} \end{split}$$

$$\begin{aligned} f_0(x) &= c_{2,1}x_2x_1 + c_{2,0}x_2x_0 + c_{1,0}x_1x_0 + c_2x_2 + c_1x_1 + c_0x_0 + c \\ f_0(x) &- f_0(x+a) = c_{2,1}x_2x_1 + c_{2,0}x_2x_0 + c_{1,0}x_1x_0 + c_2x_2 + c_1x_1 + c_0x_0 + c \\ &- (c_{2,1}(x_2+a_2)(x_1+a_1) + \dots c_2(x_2+a_2) + \dots + c) \\ f_0(x) &- f_0(x+a) = c_{2,1}x_2x_1 + c_{2,0}x_2x_0 + c_{1,0}x_1x_0 + c_2x_2 + c_1x_1 + c_0x_0 + c \\ &- (c_{2,1}(x_2x_1+a_1x_2 + a_2x_1 + a_1a_2) + \dots c_2x_2 + c_2a_2 + \dots + c) \end{aligned}$$

2022.07.12 Ruben Niederhagen



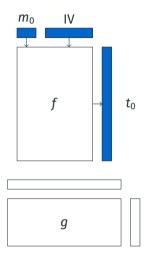
#### Problem: Easy to find collisions!

$$\begin{split} \mathsf{f}(m,\mathsf{IV}) &= \mathsf{f}(m',\mathsf{IV}')\\ \mathsf{f}(m,\mathsf{IV}) &= \mathsf{f}(m+a,\mathsf{IV}+b)\\ \mathsf{f}(m,\mathsf{IV}) - \mathsf{f}(m+a,\mathsf{IV}+b) &= \mathsf{0} \end{split}$$

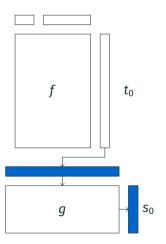
$$\begin{aligned} f_0(x) &= c_{2,1}x_2x_1 + c_{2,0}x_2x_0 + c_{1,0}x_1x_0 + c_2x_2 + c_1x_1 + c_0x_0 + c \\ f_0(x) &- f_0(x+a) = c_{2,1}x_2x_1 + c_{2,0}x_2x_0 + c_{1,0}x_1x_0 + c_2x_2 + c_1x_1 + c_0x_0 + c \\ &- (c_{2,1}(x_2+a_2)(x_1+a_1) + \dots c_2(x_2+a_2) + \dots + c) \\ f_0(x) &- f_0(x+a) = c_{2,1}x_2x_1 + c_{2,0}x_2x_0 + c_{1,0}x_1x_0 + c_2x_2 + c_1x_1 + c_0x_0 + c \\ &- (c_{2,1}(x_2x_1+a_1x_2 + a_2x_1 + a_1a_2) + \dots c_2x_2 + c_2a_2 + \dots + c) \end{aligned}$$

 $\Rightarrow$  Underdefined linear system of k + n variables and n equations!

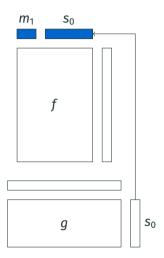














#### Example (MQ-HASH)

 $f: \mathbb{F}_2^{n+k} \to \mathbb{F}_2^r$  $g: \mathbb{F}_2^r \to \mathbb{F}_2^n$ 

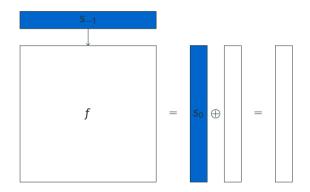
#### $H: (\boldsymbol{g} \circ \boldsymbol{f})(\boldsymbol{s}_1, \ldots, \boldsymbol{s}_n, \boldsymbol{m}_1, \ldots, \boldsymbol{m}_k)$

MQ-HASH: k = 32, n = 160 and r = 464.

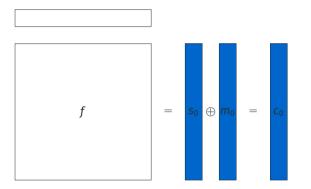
2022.07.12 Ruben Niederhagen



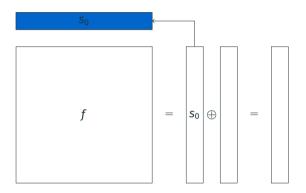
Pre-process symmetric key and IV to obtain initial state  $s_{-1}$ .



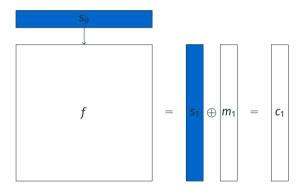




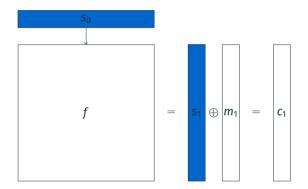










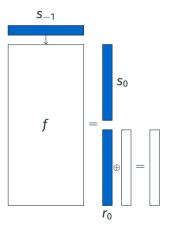


#### Easy to obtain key stream with a single known plain text block!

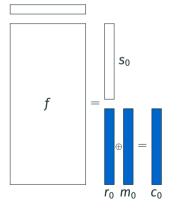
2022.07.12 Ruben Niederhagen



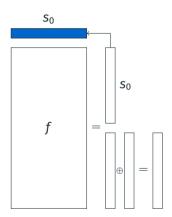
Pre-process symmetric key and IV to obtain initial state  $s_{-1}$ .



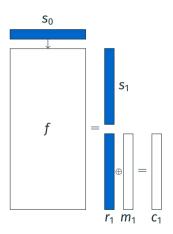














**QUAD stream cipher** 

Provably secure!



#### **QUAD stream cipher**

Provably secure!

Initialy suggested parameters QUAD(256,20,20) have been broken!



#### **QUAD stream cipher**

Provably secure!

Initialy suggested parameters QUAD(256,20,20) have been broken!

Parameters that are still considered secure: QUAD(2,160,160), QUAD(2,256,256), QUAD(2,350,350), ...



#### Composition of functions with known inverse:

Secretly choose f, g, h with known inverse functions  $f^{-1}, g^{-1}, h^{-1}$ .

Release  $F = f \circ g \circ h$  as public key and  $h^{-1}, g^{-1}, f^{-1}$  as private key.



#### Composition of functions with known inverse:

Secretly choose f, g, h with known inverse functions  $f^{-1}, g^{-1}, h^{-1}$ .

Release  $F = f \circ g \circ h$  as public key and  $h^{-1}, g^{-1}, f^{-1}$  as private key.

#### Example

Choose  $f = (f_1, \dots, f_n), h = (h_1, \dots, h_n)$  as sets of independent linear equations and

$$g(g_1, \dots, g_n) = \begin{pmatrix} g_1 : & x_1, \\ g_2 : & x_2 + p_2(x_1), \\ g_3 : & x_3 + p_3(x_1, x_2), \\ & \dots \\ g_4 : & x_n + p_4(x_1, \dots, x_{n-1}) \end{pmatrix}$$

with  $p_i$  quadratic in  $x_1, \ldots, x_i$ .



#### Example

$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2 x_1 + x_2) \\ x_4 + (x_3 x_1 + x_3 x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + 1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$



Example

$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2 x_1 + x_2) \\ x_4 + (x_3 x_1 + x_3 x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + 1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$F = f \circ g \circ h = \begin{pmatrix} x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 \\ x_4x_3 + x_4x_1 + x_3x_2 + x_2x_1 + x_4 + 1 \\ x_4x_3 + x_4x_1 + x_3x_1 + x_2 + 1 \\ x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 \end{pmatrix}$$



31/54

#### Example (Encryption)

$$F = \begin{pmatrix} x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 \\ x_4x_3 + x_4x_1 + x_3x_2 + x_2x_1 + x_4 + 1 \\ x_4x_3 + x_4x_1 + x_3x_1 + x_2 + 1 \\ x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 \end{pmatrix}$$

$$F(1,0,0,1) = \begin{pmatrix} 0 \cdot 0 + 0 \cdot 1 + 0 \cdot 1 + 1 + 0 + 0 + 1 \\ 1 \cdot 0 + 1 \cdot 1 + 0 \cdot 0 + 0 \cdot 1 + 1 + 1 \\ 1 \cdot 0 + 1 \cdot 1 + 0 \cdot 1 + 0 + 1 \\ 0 \cdot 0 + 0 \cdot 1 + 0 \cdot 1 + 1 + 1 \end{pmatrix} = (0,1,0,0)$$



#### Example (Decryption)

$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + 1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$f^{-1} = \begin{pmatrix} y_4 + y_3 + y_2 \\ y_3 + y_2 + y_1 + 1 \\ y_4 + y_3 + y_2 + y_1 + 1 \\ y_3 + y_1 + 1 \end{pmatrix}$$

 $f^{-1}(0, 1, 0, 0) = (1, 0, 0, 1)$ 



$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + 1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$\begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$



$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + 1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$\begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$



$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + 1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$\begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$



$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + 1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$\begin{pmatrix} x_1 \\ x_2 + (1+1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$



#### Example (Decryption)

$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + x_1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 + (x_2 x_1 + x_2) \\ x_4 + (x_3 x_1 + x_3 x_2 + x_1) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

2022.07.12 Ruben Niederhagen



$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + 1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 + (\mathbf{0} \cdot \mathbf{1} + \mathbf{0}) \\ x_4 + (x_3 x_1 + x_3 x_2 + x_1) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$



$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + x_1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 + (x_3 x_1 + x_3 x_2 + x_1) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$



$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + x_1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$\begin{pmatrix} x_{1} \\ x_{2} \\ x_{3} \\ x_{4} + (0 \cdot 1 + 0 \cdot 0 + 1) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$



$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + x_1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 + 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$



#### Example (Decryption)

$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + x_1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$
$$\begin{pmatrix} x_1 \\ x_2 \end{pmatrix}, h = \begin{pmatrix} x_1 \\ x_2 + x_2 \\ x_3 + x_2 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

 $\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$ 



$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + 1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$g^{-1}(1,0,0,1) = (1,0,0,0)$$



#### Example (Decryption)

$$f = \begin{pmatrix} x_3 + x_1 + 1 \\ x_4 + x_2 \\ x_4 + x_3 + x_1 \\ x_3 + x_2 \end{pmatrix}, g = \begin{pmatrix} x_1 \\ x_2 + (x_1 + 1) \\ x_3 + (x_2x_1 + x_2) \\ x_4 + (x_3x_1 + x_3x_2 + x_1) \end{pmatrix}, h = \begin{pmatrix} x_2 + x_1 \\ x_3 + x_2 \\ x_4 + x_2 + 1 \\ x_4 + x_2 + x_1 \end{pmatrix}.$$

$$h^{-1} = \begin{pmatrix} y_4 + y_3 + 1 \\ y_4 + y_3 + y_1 + 1 \\ y_4 + y_2 + y_3 + y_1 + 1 \\ y_4 + y_1 \end{pmatrix}$$

 $h^{-1}(1,0,0,0) = (1,0,0,1)$ 



#### **Attention!**

$$g(g_1, \dots, g_n) = \begin{pmatrix} g_1 : & x_1, \\ g_2 : & x_2 + p_2(x_1), \\ g_3 : & x_3 + p_3(x_1, x_2), \\ & \dots \\ g_4 : & x_n + p_4(x_1, \dots, x_{n-1}) \end{pmatrix}$$

32/54

## **Public-Key Encryption**

#### **Attention!**

$$g(g_1, \dots, g_n) = egin{pmatrix} g_1 : & x_1, \ g_2 : & x_2 + p_2(x_1), \ g_3 : & x_3 + p_3(x_1, x_2), \ & \dots \ g_4 : & x_n + p_4(x_1, \dots, x_{n-1}) \end{pmatrix}$$

 $f \circ g \circ h$  is **<u>not</u>** a hard instance of  $\mathcal{MQ}$ - $\mathbb{F}_2$ due to the linearity of  $g_1$  (and  $g_2$ )!



## **Public-Key Encryption**

#### **Attention!**

$$g(g_1, \dots, g_n) = \begin{pmatrix} g_1 : & x_1, \\ g_2 : & x_2 + p_2(x_1), \\ g_3 : & x_3 + p_3(x_1, x_2), \\ & \dots \\ g_4 : & x_n + p_4(x_1, \dots, x_{n-1}) \end{pmatrix}$$

 $f \circ g \circ h$  is **<u>not</u>** a hard instance of  $\mathcal{MQ}$ - $\mathbb{F}_2$ due to the linearity of  $g_1$  (and  $g_2$ )!

#### Solution:

Make composition more complicated; this is ongoing research.



## **Public-Key Encryption**

#### **Attention!**

$$g(g_1, \dots, g_n) = \begin{pmatrix} g_1 : & x_1, \\ g_2 : & x_2 + p_2(x_1), \\ g_3 : & x_3 + p_3(x_1, x_2), \\ & \dots \\ g_4 : & x_n + p_4(x_1, \dots, x_{n-1}) \end{pmatrix}$$

 $f \circ g \circ h$  is <u>**not**</u> a hard instance of  $\mathcal{MQ}$ - $\mathbb{F}_2$ due to the linearity of  $g_1$  (and  $g_2$ )!

#### Solution:

Make composition more complicated; this is ongoing research.

Many asymmetric  $\mathcal{MQ}\text{-}\mathbb{F}_2$  schemes that have been prosed so far have been broken!

Institute of Information Science, Academia Sinica



#### Basic scheme (known from RSA etc.):

- Signing: Encrypt message hash with private key.
- Verification: Decrypt signature with public key and compare to message hash.



#### Basic scheme (known from RSA etc.):

- Signing: Encrypt message hash with private key.
- Verification: Decrypt signature with public key and compare to message hash.

No secure multivariate public key system  $\rightarrow$  no secure signature scheme...

#### Basic scheme (known from RSA etc.):

- Signing: Encrypt message hash with private key.
- Verification: Decrypt signature with public key and compare to message hash.

No secure multivariate public key system  $\rightarrow$  no secure signature scheme...

#### Wrong!

There actually are secure multivariate signature schemes that are not based on public key encryption.



#### Example (Oil and Vinegar)

Private key:

$$f=egin{pmatrix} x_6+x_3+1\ x_6+x_3+x_1\ x_5+x_3+1\ x_4+x_2+1\ x_3+x_2+1\ x_5+x_1 \end{pmatrix}, g=egin{pmatrix} x_6x_1+x_5x_2+x_4x_2+x_2x_1+x_4+x_3\ x_4x_1+x_3x_2+x_4+x_1+1\ x_6x_3+x_5x_3+x_3x_2+x_6+x_5+x_1+1 \end{pmatrix}$$

#### Example (Oil and Vinegar)

Private key:

$$f=egin{pmatrix} x_6+x_3+1\ x_6+x_3+x_1\ x_5+x_3+1\ x_4+x_2+1\ x_3+x_2+1\ x_5+x_1 \end{pmatrix}, g=egin{pmatrix} x_6x_1+x_5x_2+x_4x_2+x_2x_1+x_4+x_3\ x_4x_1+x_3x_2+x_4+x_1+1\ x_6x_3+x_5x_3+x_3x_2+x_6+x_5+x_1+1 \end{pmatrix}$$

Public key: 
$$g \circ f = x_6x_5 + x_6x_4 + x_6x_3 + x_5x_3 + x_4x_3 + x_4x_1 + x_3x_1 + x_4 + x_2$$
  
 $\begin{pmatrix} x_6x_5 + x_6x_4 + x_6x_3 + x_6x_2 + x_5x_3 + x_5x_1 + x_4x_3 + x_3x_1 + x_4 + x_2 \\ x_6x_5 + x_6x_4 + x_6x_3 + x_5x_3 + x_5x_1 + x_4x_3 + x_3x_2 + x_3x_1 + x_6 + x_1 \\ x_6x_5 + x_6x_3 + x_5x_3 + x_5x_2 + x_3x_2 + x_3 + x_1 \end{pmatrix}$ 

2022.07.12 Ruben Niederhagen

Institute of Information Science, Academia Sinica



#### Example (Signing)

$$f = \begin{pmatrix} x_6 + x_3 + 1 \\ x_6 + x_3 + x_1 \\ x_5 + x_3 + 1 \\ x_4 + x_2 + 1 \\ x_3 + x_2 + 1 \\ x_5 + x_1 \end{pmatrix}, g = \begin{pmatrix} x_6 x_1 + x_5 x_2 + x_4 x_2 + x_2 x_1 + x_4 + x_3 \\ x_4 x_1 + x_3 x_2 + x_4 + x_1 + 1 \\ x_6 x_3 + x_5 x_3 + x_3 x_2 + x_6 + x_5 + x_1 + 1 \end{pmatrix}$$



#### Example (Signing)

Oil variables:  $x_6, x_5, x_4$ ; Vinegar variables:  $x_3, x_2, x_1$ .

$$f = \begin{pmatrix} x_6 + x_3 + 1 \\ x_6 + x_3 + x_1 \\ x_5 + x_3 + 1 \\ x_4 + x_2 + 1 \\ x_3 + x_2 + 1 \\ x_5 + x_1 \end{pmatrix}, g = \begin{pmatrix} x_6 x_1 + x_5 x_2 + x_4 x_2 + x_2 x_1 + x_4 + x_3 \\ x_4 x_1 + x_3 x_2 + x_4 + x_1 + 1 \\ x_6 x_3 + x_5 x_3 + x_3 x_2 + x_6 + x_5 + x_1 + 1 \end{pmatrix}$$



#### Example (Signing)

Oil variables:  $x_6, x_5, x_4$ ; Vinegar variables:  $x_3, x_2, x_1$ .

$$f = \begin{pmatrix} x_6 + x_3 + 1 \\ x_6 + x_3 + x_1 \\ x_5 + x_3 + 1 \\ x_4 + x_2 + 1 \\ x_3 + x_2 + 1 \\ x_5 + x_1 \end{pmatrix}, g = \begin{pmatrix} x_6 x_1 + x_5 x_2 + x_4 x_2 + x_2 x_1 + x_4 + x_3 \\ x_4 x_1 + x_3 x_2 + x_4 + x_1 + 1 \\ x_6 x_3 + x_5 x_3 + x_3 x_2 + x_6 + x_5 + x_1 + 1 \end{pmatrix}$$

Randomly choose  $x_3, x_2, x_1$ , e.g.,  $x_3 = 0, x_2 = 1, x_1 = 0$ :

$$g' = \begin{pmatrix} 0x_6 + 1x_5 + 1x_4 + 1 \cdot 0 + x_4 + 0 \\ 0x_4 + 0 \cdot 1 + x_4 + 0 + 1 \\ 0x_6 + 0x_5 + 0 \cdot 1 + x_6 + x_5 + 0 + 1 \end{pmatrix}$$



#### Example (Signing)

Oil variables:  $x_6, x_5, x_4$ ; Vinegar variables:  $x_3, x_2, x_1$ .

$$f = \begin{pmatrix} x_6 + x_3 + 1 \\ x_6 + x_3 + x_1 \\ x_5 + x_3 + 1 \\ x_4 + x_2 + 1 \\ x_3 + x_2 + 1 \\ x_5 + x_1 \end{pmatrix}, g = \begin{pmatrix} x_6 x_1 + x_5 x_2 + x_4 x_2 + x_2 x_1 + x_4 + x_3 \\ x_4 x_1 + x_3 x_2 + x_4 + x_1 + 1 \\ x_6 x_3 + x_5 x_3 + x_3 x_2 + x_6 + x_5 + x_1 + 1 \end{pmatrix}$$

Randomly choose  $x_3, x_2, x_1$ , e.g.,  $x_3 = 0, x_2 = 1, x_1 = 0$ :

$$g' = \begin{pmatrix} 0x_6 + 1x_5 + 1x_4 + 1 \cdot 0 + x_4 + 0 \\ 0x_4 + 0 \cdot 1 + x_4 + 0 + 1 \\ 0x_6 + 0x_5 + 0 \cdot 1 + x_6 + x_5 + 0 + 1 \end{pmatrix} = \begin{pmatrix} x_5 \\ x_4 + 1 \\ x_6 + x_5 + 1 \end{pmatrix}$$



#### Example (Signing)

Oil variables:  $x_6, x_5, x_4$ ; Vinegar variables:  $x_3, x_2, x_1$ .

$$f = \begin{pmatrix} x_6 + x_3 + 1 \\ x_6 + x_3 + x_1 \\ x_5 + x_3 + 1 \\ x_4 + x_2 + 1 \\ x_3 + x_2 + 1 \\ x_5 + x_1 \end{pmatrix}, g = \begin{pmatrix} x_6 x_1 + x_5 x_2 + x_4 x_2 + x_2 x_1 + x_4 + x_3 \\ x_4 x_1 + x_3 x_2 + x_4 + x_1 + 1 \\ x_6 x_3 + x_5 x_3 + x_3 x_2 + x_6 + x_5 + x_1 + 1 \end{pmatrix}$$

Sign h = (1, 1, 0):  $x_5 = 1$   $x_4 + 1 = 1$  $x_6 + x_5 + 1 = 0$ 



#### Example (Signing)

Oil variables:  $x_6, x_5, x_4$ ; Vinegar variables:  $x_3, x_2, x_1$ .

$$f = \begin{pmatrix} x_6 + x_3 + 1 \\ x_6 + x_3 + x_1 \\ x_5 + x_3 + 1 \\ x_4 + x_2 + 1 \\ x_3 + x_2 + 1 \\ x_5 + x_1 \end{pmatrix}, g = \begin{pmatrix} x_6 x_1 + x_5 x_2 + x_4 x_2 + x_2 x_1 + x_4 + x_3 \\ x_4 x_1 + x_3 x_2 + x_4 + x_1 + 1 \\ x_6 x_3 + x_5 x_3 + x_3 x_2 + x_6 + x_5 + x_1 + 1 \end{pmatrix}$$

Sign h = (1, 1, 0):  $X_5 = 1$  $X_4 = 0$  $X_6 = 0$ 



#### Example (Signing)

Oil variables:  $x_6, x_5, x_4$ ; Vinegar variables:  $x_3, x_2, x_1$ .

$$f = \begin{pmatrix} x_6 + x_3 + 1 \\ x_6 + x_3 + x_1 \\ x_5 + x_3 + 1 \\ x_4 + x_2 + 1 \\ x_3 + x_2 + 1 \\ x_5 + x_1 \end{pmatrix}, g = \begin{pmatrix} x_6 x_1 + x_5 x_2 + x_4 x_2 + x_2 x_1 + x_4 + x_3 \\ x_4 x_1 + x_3 x_2 + x_4 + x_1 + 1 \\ x_6 x_3 + x_5 x_3 + x_3 x_2 + x_6 + x_5 + x_1 + 1 \end{pmatrix}$$

Sign h = (1, 1, 0):  $x_5 = 1$   $x_4 = 0$  $x_6 = 0$ 



#### Example (Signing)

 $g^{-1}(1,1,0) = (0,1,0,0,1,0)$ 

$$f = \begin{pmatrix} x_6 + x_3 + 1 \\ x_6 + x_3 + x_1 \\ x_5 + x_3 + 1 \\ x_4 + x_2 + 1 \\ x_3 + x_2 + 1 \\ x_5 + x_1 \end{pmatrix},$$

2022.07.12 Ruben Niederhagen



#### Example (Signing)

 $g^{-1}(1,1,0) = (0,1,0,0,1,0)$ 

$$f = \begin{pmatrix} x_6 + x_3 + 1 \\ x_6 + x_3 + x_1 \\ x_5 + x_3 + 1 \\ x_4 + x_2 + 1 \\ x_3 + x_2 + 1 \\ x_5 + x_1 \end{pmatrix}, f^{-1} = \begin{pmatrix} x_2 + x_1 + 1 \\ x_6 + x_5 + x_3 + x_2 + x_1 + 1 \\ x_6 + x_3 + x_2 + x_1 \\ x_6 + x_5 + x_4 + x_3 + x_2 + x_1 \\ x_6 + x_2 + x_1 + 1 \\ x_6 + x_3 + x_2 + 1 \end{pmatrix}$$



#### Example (Signing)

 $g^{-1}(1,1,0) = (0,1,0,0,1,0)$ 

$$f = \begin{pmatrix} x_6 + x_3 + 1 \\ x_6 + x_3 + x_1 \\ x_5 + x_3 + 1 \\ x_4 + x_2 + 1 \\ x_3 + x_2 + 1 \\ x_5 + x_1 \end{pmatrix}, f^{-1} = \begin{pmatrix} x_2 + x_1 + 1 \\ x_6 + x_5 + x_3 + x_2 + x_1 + 1 \\ x_6 + x_5 + x_4 + x_3 + x_2 + x_1 \\ x_6 + x_5 + x_4 + x_3 + x_2 + x_1 \\ x_6 + x_2 + x_1 + 1 \\ x_6 + x_3 + x_2 + 1 \end{pmatrix}$$

 $f^{-1}(0, 1, 0, 0, 1, 0) = (0, 0, 0, 1, 1, 0)$ 



#### Example (Signing)

 $g^{-1}(1,1,0) = (0,1,0,0,1,0)$ 

$$f = \begin{pmatrix} x_6 + x_3 + 1 \\ x_6 + x_3 + x_1 \\ x_5 + x_3 + 1 \\ x_4 + x_2 + 1 \\ x_3 + x_2 + 1 \\ x_5 + x_1 \end{pmatrix}, f^{-1} = \begin{pmatrix} x_2 + x_1 + 1 \\ x_6 + x_5 + x_3 + x_2 + x_1 + 1 \\ x_6 + x_3 + x_2 + x_1 \\ x_6 + x_5 + x_4 + x_3 + x_2 + x_1 \\ x_6 + x_2 + x_1 + 1 \\ x_6 + x_3 + x_2 + 1 \end{pmatrix}$$

 $f^{-1}(0,1,0,0,1,0) = (0,0,0,1,1,0)$ 

 $s = f^{-1}g^{-1}(1, 1, 0) = (0, 0, 0, 1, 1, 0)$ 



#### **Example (Verification)**

h = (1, 1, 0), s = (0, 0, 0, 1, 1, 0)



#### **Example (Verification)**

h = (1, 1, 0), s = (0, 0, 0, 1, 1, 0)

#### $g \circ f =$

$$\begin{pmatrix} x_6x_5 + x_6x_4 + x_6x_3 + x_5x_3 + x_4x_3 + x_4x_1 + x_3x_1 + x_4 + x_2 \\ x_6x_5 + x_6x_4 + x_6x_3 + x_6x_2 + x_5x_3 + x_5x_1 + x_4x_3 + x_3x_2 + x_3x_1 + x_6 + x_1 \\ x_6x_5 + x_6x_3 + x_5x_3 + x_5x_2 + x_3x_2 + x_3 + x_1 \end{pmatrix}$$



#### **Example (Verification)**

h = (1, 1, 0), s = (0, 0, 0, 1, 1, 0)

$$g \circ f = \\ \begin{pmatrix} x_6 x_5 + x_6 x_4 + x_6 x_3 + x_5 x_3 + x_4 x_3 + x_4 x_1 + x_3 x_1 + x_4 + x_2 \\ x_6 x_5 + x_6 x_4 + x_6 x_3 + x_6 x_2 + x_5 x_3 + x_5 x_1 + x_4 x_3 + x_3 x_2 + x_3 x_1 + x_6 + x_1 \\ x_6 x_5 + x_6 x_3 + x_5 x_3 + x_5 x_2 + x_3 x_2 + x_3 + x_1 \end{pmatrix}$$

 $h' = g \circ f(0, 0, 0, 1, 1, 0) = (1, 1, 0)$ 

2022.07.12 Ruben Niederhagen



#### Public key encryption scheme?

Oil and Vinegar can not be used as public key encryption scheme due to the randomness of the vinegar variables.



#### Public key encryption scheme?

Oil and Vinegar can not be used as public key encryption scheme due to the randomness of the vinegar variables.

Oil and Vinegar is broken!



#### Public key encryption scheme?

Oil and Vinegar can not be used as public key encryption scheme due to the randomness of the vinegar variables.

#### Oil and Vinegar is broken!

There are variations of Oil and Vinegar, e.g., Unbalanced Oil and Vinegar (UOV), that are considered secure.



#### From OV to UOV:

The attack on OV exploits the fact that there are as many oil variables as there are vinegar variables.

However, the attack is not applibale if there are (many) more vinegar than oil variables.



#### From OV to UOV:

The attack on OV exploits the fact that there are as many oil variables as there are vinegar variables.

However, the attack is not applibale if there are (many) more vinegar than oil variables.

#### **UOV parameter recommendations:**

n	<i>o</i> (oil)	v (vinegar)	bit security
160	64	96	128
112	44	68	128
184	72	112	192
244	96	148	256
	160 112 184	160641124418472	160         64         96           112         44         68           184         72         112



# System Solving



Institute of Information Science, Academia Sinica

## **System Solving**

#### Algebraic Cryptanalysis:

Obtain a system of multivariate polynomial equations with the secret among the variables.

- Naturally breaks multivariate crypto schemes,
- does not break AES as first advertised,
- but does break, e.g., KeeLoq.

#### Example

$$F = \begin{pmatrix} x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 \\ x_4x_3 + x_4x_1 + x_3x_2 + x_2x_1 + x_4 + 1 \\ x_4x_3 + x_4x_1 + x_3x_1 + x_2 + 1 \\ x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 \end{pmatrix}$$

Find x for F(x) = (0, 1, 0, 0).



#### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4x_3 + x_4x_1 + x_3x_2 + x_2x_1 + x_4 + 1 = 1$$
(2)

$$x_4x_3 + x_4x_1 + x_3x_1 + x_2 + 1 = 0 \tag{3}$$

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0 \tag{4}$$

#### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$X_4X_3 + X_4X_1 + X_3X_2 + X_2X_1 + X_4 + 1 = 1$$
(2)

$$x_4x_3 + x_4x_1 + x_3x_1 + x_2 + 1 = 0 \tag{3}$$

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0 \tag{4}$$

#### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4x_3 + x_4x_1 + x_3x_1 + x_2 + 1 = 0 \tag{3}$$

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0 \tag{4}$$



#### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
(1)

$$X_4X_3 + X_4X_1 + X_3X_2 + X_2X_1 + X_4 = 0$$
<sup>(2)</sup>

$$X_4X_3 + X_4X_1 + X_3X_1 + X_2 + 1 = 0$$
(3)

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0 \tag{4}$$

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_2 + 1 = 0 (2) + (3) = (5)$$



#### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_1 + x_2 + 1 = 0 \tag{3}$$

$$X_3X_2 + X_3X_1 + X_2X_1 + X_4 + X_1 = 0$$
(4)

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_2 + 1 = 0 (2) + (3) = (5)$$

$$x_2 + x_1 + 1 = 0$$
 (4) + (5) = (6)

#### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
(1)

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
 (2)

$$x_4x_3 + x_4x_1 + x_3x_1 + x_2 + 1 = 0 \tag{3}$$

$$x_3 x_2 + x_3 x_1 + x_2 x_1 + x_4 + x_1 = 0$$
<sup>(4)</sup>

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_2 + 1 = 0 (2) + (3) = (5)$$

$$x_2 + x_1 + 1 = 0$$
 (4) + (5) = (6)

$$x_3 + x_2 = 0$$
 (1) + (4) = (7)



### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4x_3 + x_4x_1 + x_3x_1 + x_2 + 1 = 0 \tag{3}$$

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0 \tag{4}$$

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_2 + 1 = 0 (2) + (3) = (5)$$

$$x_2 + x_1 + 1 = 0$$
 (4) + (5) = (6)

$$x_3 + x_2 = 0$$
 (1) + (4) = (7)

$$x_3x_2x_1 + x_4x_1 + x_3x_2 + x_2x_1 + x_4 + x_3 = 0 x_3(1) + (2) = (8)$$



#### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4x_3 + x_4x_1 + x_3x_1 + x_2 + 1 = 0 \tag{3}$$

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0 \tag{4}$$

$$x_3x_2+x_3x_1+x_2x_1+x_4+x_2+1=0 \hspace{1.5cm} (2)+(3)= \hspace{1.5cm} (5)$$

$$x_2 + x_1 + 1 = 0 \qquad (4) + (5) =$$

$$x_3 + x_2 = 0$$
 (1) + (4) =

$$x_3x_2x_1 + x_4x_1 + x_3x_2 + x_2x_1 + x_4 + x_3 = 0 x_3(1) + (2) = (8)$$

$$x_3x_2x_1 + x_4x_1 + x_3x_2 + x_3x_1 + x_2 + 1 = 0 x_3(4) + (3) = (9)$$



42/54

(6)

(7)

### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4x_3 + x_4x_1 + x_3x_1 + x_2 + 1 = 0 \tag{3}$$

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0 \tag{4}$$

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_2 + 1 = 0 (2) + (3) = (5)$$

$$x_2 + x_1 + 1 = 0 \qquad (4) + (5) =$$

$$x_3 + x_2 = 0$$
 (1) + (4) =

$$x_3x_2x_1 + x_4x_1 + x_3x_2 + x_2x_1 + x_4 + x_3 = 0 x_3(1) + (2) = (8)$$

$$x_3x_2x_1 + x_4x_1 + x_3x_2 + x_3x_1 + x_2 + 1 = 0$$
  $x_3(4) + (3) =$ 

$$x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + 1 = 0$$
 (8) + (9) = (10)



42/54

(6)

(7)

(9)

#### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4x_3 + x_4x_1 + x_3x_1 + x_2 + 1 = 0 \tag{3}$$

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0 \tag{4}$$

$$x_3x_2+x_3x_1+x_2x_1+x_4+x_2+1=0 \hspace{1.5cm} (2)+(3)= \hspace{1.5cm} (5)$$

$$x_2 + x_1 + 1 = 0$$
 (4) + (5) =

$$x_3 + x_2 = 0$$

$$x_3x_2x_1 + x_4x_1 + x_3x_2 + x_2x_1 + x_4 + x_3 = 0$$

$$x_3x_2x_1 + x_4x_1 + x_3x_2 + x_3x_1 + x_2 + 1 = 0$$

$$x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + 1 = 0$$
$$x_4 + x_3 + x_2 + 1 = 0$$

$$(1) + (4) = (7)$$

$$x_3(1) + (2) =$$
 (8)

(6)

)

$$x_3(4) + (3) =$$
 (9)

$$(8) + (9) =$$
 (10)

$$x_1(7) + (10) =$$
(11)

#### Example

 $x_4 + x_3 + x_2 + 1 = 0$ 

$$\begin{array}{ll} x_3x_2x_1 + x_4x_1 + x_3x_2 + x_3x_1 + x_2 + 1 = 0 & x_3(4) + (3) = \\ x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + 1 = 0 & (8) + (9) = \end{array}$$

$$(8) + (9) = (10)$$

$$x_1(7) + (10) = (11)$$

$$x_4 + 1 = 0$$
 (7) + (11) = (12)

#### Example

$$\begin{aligned} x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 &= 0 & (1) \\ x_4x_3 + x_4x_1 + x_3x_2 + x_2x_1 + x_4 &= 0 & (2) \\ x_4x_3 + x_4x_1 + x_3x_1 + x_2 + 1 &= 0 & (3) \\ x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 &= 0 & (4) \\ x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_2 + 1 &= 0 & (2) + (3) &= (5) \\ x_2 + x_1 + 1 &= 0 & (4) + (5) &= (6) \\ x_3 + x_2 &= 0 & (1) + (4) &= (7) \\ x_3x_2x_1 + x_4x_1 + x_3x_2 + x_2x_1 + x_4 + x_3 &= 0 & x_3(1) + (2) &= (8) \end{aligned}$$

$$x_3x_2x_1 + x_4x_1 + x_3x_2 + x_2x_1 + x_4 + x_3 = 0$$
  $x_3(1) + (2) =$ 

$$\begin{aligned} x_3 x_2 x_1 + x_4 x_1 + x_3 x_2 + x_3 x_1 + x_2 + 1 &= 0 \\ x_3 x_1 + x_2 x_1 + x_4 + x_3 + x_2 + 1 &= 0 \\ \end{aligned} (8) + (9) &= \end{aligned}$$

$$x_1(7) + (10) = (11)$$

(9)

$$x_4 = 1$$
 (7) + (11) = (12)

### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_1 + x_2 + 1 = 0$$
(3)

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0 \tag{4}$$

$$x_2 + x_1 + 1 = 0 \tag{6}$$

$$x_3 + x_2 = 0 (7)$$

$$x_4 = 1 \tag{12}$$



### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_1 + x_2 + 1 = 0$$
(3)

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0 \tag{4}$$

$$x_2 + x_1 + 1 = 0 \tag{6}$$

$$x_3 + x_2 = 0 (7)$$

$$x_4 = 1 \tag{12}$$

$$x_4 x_3 x_1 + x_4 x_3 + x_2 x_1 + x_4 + x_3 + x_1 = 0 x_3(3) + (4) = (13)$$



### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_1 + x_2 + 1 = 0$$
(3)

$$x_3 x_2 + x_3 x_1 + x_2 x_1 + x_4 + x_1 = 0 \tag{4}$$

$$x_2 + x_1 + 1 = 0 \tag{6}$$

$$x_3 + x_2 = 0 (7)$$

$$x_4 = 1 \tag{12}$$

$$x_4x_3x_1 + x_4x_3 + x_2x_1 + x_4 + x_3 + x_1 = 0 x_3(3) + (4) = (13)$$

$$x_4 x_3 x_1 + x_3 x_2 x_1 + x_3 x_1 + x_2 x_1 + x_4 + x_3 + x_2 + x_1 = 0 \qquad (1) + x_3 (2) = (14)$$

### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_1 + x_2 + 1 = 0$$
(3)

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0 \tag{4}$$

$$x_2 + x_1 + 1 = 0 (6)$$

$$x_3 + x_2 = 0 (7)$$

$$x_4 = 1$$
 (12)

$$x_4 x_3 x_1 + x_4 x_3 + x_2 x_1 + x_4 + x_3 + x_1 = 0 x_3(3) + (4) = (13)$$

$$x_4 x_3 x_1 + x_3 x_2 x_1 + x_3 x_1 + x_2 x_1 + x_4 + x_3 + x_2 + x_1 = 0 \qquad (1) + x_3 (2) = (14)$$

$$x_2 = 0 (14) + (13) + (9) + x_4(7) + x_4(6) + x_2(7) + (12) = (15)$$

### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_1 + x_2 + 1 = 0$$
(3)

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0 \tag{4}$$

$$x_2 + x_1 + 1 = 0 \tag{6}$$

$$x_3 + x_2 = 0 (7)$$

$$x_4 = 1$$
 (12)

$$x_4x_3x_1 + x_4x_3 + x_2x_1 + x_4 + x_3 + x_1 = 0 x_3(3) + (4) = (13)$$

$$x_4 x_3 x_1 + x_3 x_2 x_1 + x_3 x_1 + x_2 x_1 + x_4 + x_3 + x_2 + x_1 = 0 \qquad (1) + x_3(2) = (14)$$

$$\begin{aligned} x_2 &= 0 & (14) + (13) + (9) + x_4(7) + x_4(6) + x_2(7) + (12) = & (15) \\ x_3 &= 0 & (7) + (15) = & (16) \end{aligned}$$



### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
<sup>(1)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_1 + x_2 + 1 = 0$$
(3)

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_1 = 0$$
(4)

$$x_2 + x_1 + 1 = 0 (6)$$

$$x_3 + x_2 = 0$$
 (7)

$$x_4 = 1 \tag{12}$$

$$x_4x_3x_1 + x_4x_3 + x_2x_1 + x_4 + x_3 + x_1 = 0 x_3(3) + (4) = (13)$$

$$x_4 x_3 x_1 + x_3 x_2 x_1 + x_3 x_1 + x_2 x_1 + x_4 + x_3 + x_2 + x_1 = 0 \qquad (1) + x_3 (2) = (14)$$

$$x_2 = 0$$
 (14) + (13) + (9) +  $x_4(7) + x_4(6) + x_2(7) + (12) =$  (15)

$$x_3 = 0$$
 (7) + (15) = (16)

$$x_1 = 1$$
 (6) + (15) =

(17)

### Example

$$x_3x_2 + x_3x_1 + x_2x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
 (1)

$$x_4 x_3 + x_4 x_1 + x_3 x_2 + x_2 x_1 + x_4 = 0$$
<sup>(2)</sup>

$$x_4 x_3 + x_4 x_1 + x_3 x_1 + x_2 + 1 = 0 \tag{3}$$

$$x_3 x_2 + x_3 x_1 + x_2 x_1 + x_4 + x_1 = 0 \tag{4}$$

$$x_2 + x_1 + 1 = 0 (6)$$

$$x_3 + x_2 = 0 (7)$$

$$x_4 = 1 \tag{12}$$

$$x_4 x_3 x_1 + x_4 x_3 + x_2 x_1 + x_4 + x_3 + x_1 = 0 x_3(3) + (4) = (13)$$

$$x_4 x_3 x_1 + x_3 x_2 x_1 + x_3 x_1 + x_2 x_1 + x_4 + x_3 + x_2 + x_1 = 0$$
 (1) + x<sub>3</sub>(2) = (14)

$$x_2 = 0 (14) + (13) + (9) + x_4(7) + x_4(6) + x_2(7) + (12) = (15)$$

$$X_3 = 0$$
 (7) + (15) = (16)

$$x_1 = 1$$
 (6) + (15) =



(17)

### Algorithm due to Buchberger:

- Transform set of equations to a Gröbner basis; obtain solution of the system from the final representation.
- During computation, the maximum degree increases to D > 2.
- There are several improvements of Buchbergers algorithm, e.g., Faugère's  ${\sf F}_4$  and  ${\sf F}_5$  (implemented, e.g., in Magma).



## The XL algorithm

- XL is an acronym for extended linearization:
  - extend a quadratic system by multiplying with appropriate monomials,
  - linearize by treating each monomial as an independent variable,
  - solve the linearized system.
- Special case of Gröbner basis algorithms.
- First suggested by Lazard (1983).
- Reinvented by Courtois, Klimov, Patarin, and Shamir (2000).
- More "easy" to parallelize compared to Gröbner basis solvers.

## **Basic idea:**

For  $b \in \mathbb{N}^n$  denote by  $x^b$  the monomial  $x_1^{b_1}x_2^{b_2} \dots x_n^{b_n}$  and by  $|b| = b_1 + b_2 + \dots + b_n$  the total degree of  $x^b$ .

given:	finite field $K = \mathbb{F}_q$
	system $\mathcal A$ of $m$ multivariate quadratic equations:
	$\ell_1 = \ell_2 = \cdots = \ell_m = 0, \ \ell_i \in K[x_1, x_2, \dots, x_n]$
choose:	operational degree $m{D}\in\mathbb{N}$
extend:	system ${\mathcal A}$ to the system
	$\mathcal{R}^{(D)} = \{ x^{b} \ell_i = 0 :  b  \leqslant D - 2, \ell_i \in \mathcal{A} \}$
linearize:	consider $x^d, d \leqslant D$ a new variable, obtain linear system $\mathcal M$
solve:	linear system ${\cal M}$
extend: linearize:	operational degree $D \in \mathbb{N}$ system $\mathcal{A}$ to the system $\mathcal{R}^{(D)} = \{x^b \ell_i = 0 :  b  \leq D - 2, \ell_i \in \mathcal{A}\}$ consider $x^d, d \leq D$ a new variable, obtain linear system $\mathcal{M}$



## **Basic idea:**

For  $b \in \mathbb{N}^n$  denote by  $x^b$  the monomial  $x_1^{b_1}x_2^{b_2} \dots x_n^{b_n}$  and by  $|b| = b_1 + b_2 + \dots + b_n$  the total degree of  $x^b$ .

given:	finite field $\mathcal{K} = \mathbb{F}_q$
	system $\mathcal A$ of $m$ multivariate quadratic equations:
	$\ell_1 = \ell_2 = \cdots = \ell_m = 0, \ \ell_i \in K[\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n]$
choose:	<u>operational degree <math>D \in \mathbb{N}</math></u> How?
extend:	system ${\mathcal A}$ to the system
	$\mathcal{R}^{(D)} = \{ \mathbf{x}^b \ell_i = 0 :  b  \leqslant D - 2, \ell_i \in \mathcal{A} \}$
linearize:	consider $x^d, d \leqslant D$ a new variable, obtain linear system $\mathcal M$
solve:	linear system ${\cal M}$



## **Basic idea:**

For  $b \in \mathbb{N}^n$  denote by  $x^b$  the monomial  $x_1^{b_1}x_2^{b_2} \dots x_n^{b_n}$  and by  $|b| = b_1 + b_2 + \dots + b_n$  the total degree of  $x^b$ .

 $\begin{array}{ll} \text{given:} & \text{finite field } \mathcal{K} = \mathbb{F}_q \\ & \text{system } \mathcal{A} \text{ of } m \text{ multivariate quadratic equations:} \\ \ell_1 = \ell_2 = \cdots = \ell_m = 0, \ \ell_i \in \mathcal{K}[x_1, x_2, \ldots, x_n] \\ \text{choose:} & \begin{array}{c} \text{operational degree } D \in \mathbb{N} \\ \text{extend:} & \text{system } \mathcal{A} \text{ to the system} \\ \mathcal{R}^{(D)} = \{x^b \ell_i = 0 : |b| \leq D - 2, \ell_i \in \mathcal{A}\} \\ \text{linearize:} & \begin{array}{c} \text{consider } x^d, d \leq D \text{ a new variable, obtain linear system } \mathcal{M} \\ \text{solve:} & \begin{array}{c} \text{linear system } \mathcal{M} \end{array} \end{array}$ 

minimum degree  $D_0$  for reliable termination (Yang and Chen):  $D_0 := \min\{D : ((1 - \lambda)^{m-n-1}(1 + \lambda)^m)[D] \le 0\}$ 



## **Basic idea:**

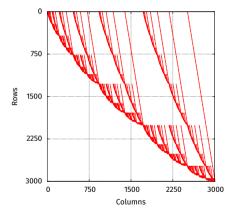
For  $b \in \mathbb{N}^n$  denote by  $x^b$  the monomial  $x_1^{b_1}x_2^{b_2} \dots x_n^{b_n}$  and by  $|b| = b_1 + b_2 + \dots + b_n$  the total degree of  $x^b$ .

given:finite field  $K = \mathbb{F}_q$ <br/>system  $\mathcal{A}$  of m multivariate quadratic equations:<br/> $\ell_1 = \ell_2 = \cdots = \ell_m = 0, \ \ell_i \in K[x_1, x_2, \dots, x_n]$ choose:operational degree  $D \in \mathbb{N}$ <br/>extend:How?extend:system  $\mathcal{A}$  to the system<br/> $\mathcal{R}^{(D)} = \{x^b \ell_i = 0 : |b| \leq D - 2, \ell_i \in \mathcal{A}\}$ linearize:consider  $x^d, d \leq D$  a new variable, obtain linear system  $\mathcal{M}$ <br/>solve:

minimum degree  $D_0$  for reliable termination (Yang and Chen):  $D_0 := \min\{D : ((1 - \lambda)^{m-n-1}(1 + \lambda)^m)[D] \le 0\}$ 



Solve the sparse linear system  $\mathcal{M}$ :



Use, e.g., the (block) Lanczos or the (block) Wiedemann algorithm.

Institute of Information Science, Academia Sinica



## **Brute Force**

### **Efficiency:**

Gröbner basis solvers and XL are efficient for solving multivariate polynomial systems over *large* finite fields.



# **Brute Force**

#### **Efficiency:**

Gröbner basis solvers and XL are efficient for solving multivariate polynomial systems over *large* finite fields.

### Most Efficient Algorithm for $\mathbb{F}_2$ :

Brute-force search, testing all  $2^n$  possible inputs.



### **Full-Evaluation Approach**

- Evaluate the whole equation for each possible input.
- Time Complexity:  $O(2^n n^2)$
- Memory Complexity: O(n)

 $k = 01010_b; \ x_4 = 0, \ x_3 = 1, \ x_2 = 0, \ x_1 = 1, \ x_0 = 0$   $f = x_4 x_2 + x_3 x_0 + x_2 x_1 + x_3 + x_1 + x_0 + 1$   $f = 0 \cdot 0 + 1 \cdot 0 + 0 \cdot 1 + 1 + 1 + 0 + 1$ 



$$k = 01010_b; \ x_4 = 0, \ x_3 = 1, \ x_2 = 0, \ x_1 = 1, \ x_0 = 0$$

$$f = x_4 x_2 + x_3 x_0 + x_2 x_1 + x_3 + x_1 + x_0 + 1$$

$$f = 0 \cdot 0 + 1 \cdot 0 + 0 \cdot 1 + 1 + 1 + 0 + 1$$

$$k = 01011_b; x_4 = 0, x_3 = 1, x_2 = 0, x_1 = 1, x_0 = 1$$
  

$$f = x_4 x_2 + x_3 x_0 + x_2 x_1 + x_3 + x_1 + x_0 + 1$$
  

$$f = 0 \cdot 0 + 1 \cdot 1 + 0 \cdot 1 + 1 + 1 + 1 + 1$$



$$k = 01010_b; \ x_4 = 0, \ x_3 = 1, \ x_2 = 0, \ x_1 = 1, \ x_0 = 0$$

$$f = x_4 x_2 + x_3 x_0 + x_2 x_1 + x_3 + x_1 + x_0 + 1$$

$$f = 0 \cdot 0 + 1 \cdot 0 + 0 \cdot 1 + 1 + 1 + 0 + 1$$

$$k = 01011_b; \ x_4 = 0, \ x_3 = 1, \ x_2 = 0, \ x_1 = 1, \ x_0 = 1$$
  
$$f = x_4 x_2 + x_3 x_0 + x_2 x_1 + x_3 + x_1 + x_0 + 1$$
  
$$f = 0 \cdot 0 + 1 \cdot 1 + 0 \cdot 1 + 1 + 1 + 1 + 1$$

$$k = 01100_b; \ x_4 = 0, \ x_3 = 1, \ x_2 = 1, \ x_1 = 0, \ x_0 = 0$$
  
$$f = x_4x_2 + x_3x_0 + x_2x_1 + x_3 + x_1 + x_0 + 1$$
  
$$f = 0 \cdot 1 + 1 \cdot 0 + 1 \cdot 0 + 1 + 0 + 0 + 1$$

2022.07.12 Ruben Niederhagen

Institute of Information Science, Academia Sinica



$$k = 01010_b; \ x_4 = 0, \ x_3 = 1, \ x_2 = 0, \ x_1 = 1, \ x_0 = 0$$

$$f = x_4 x_2 + x_3 x_0 + x_2 x_1 + x_3 + x_1 + x_0 + 1$$

$$f = 0 \cdot 0 + 1 \cdot 0 + 0 \cdot 1 + 1 + 1 + 0 + 1$$

$$\begin{array}{l} k = 0 \\ 10 \\ 11 \\ b; \ x_4 = 0, \ x_3 = 1, \ x_2 = 0, \ x_1 = 1, \ x_0 = 1 \\ f = x_4 \\ x_2 + x_3 \\ x_0 + x_2 \\ x_1 + x_3 + x_1 + x_0 + 1 \\ f = 0 \\ \cdot 0 + 1 \\ \cdot 1 + 0 \\ \cdot 1 + 1 + 1 + 1 + 1 \end{array}$$

 $k = 01001_b$  in *Gray-code* order

$$f = x_4 x_2 + x_3 x_0 + x_2 x_1 + x_3 + x_1 + x_0 + 1 f = 0 \cdot 0 + 1 \cdot 1 + 0 \cdot 0 + 1 + 0 + 1 + 1$$



$$k = 01010_b; \ x_4 = 0, \ x_3 = 1, \ x_2 = 0, \ x_1 = 1, \ x_0 = 0$$

$$f = x_4 x_2 + x_3 x_0 + x_2 x_1 + x_3 + x_1 + x_0 + 1$$

$$f = 0 \cdot 0 + 1 \cdot 0 + 0 \cdot 1 + 1 + 1 + 0 + 1$$

$$\begin{array}{l} k = 0 \\ 10 \\ 11 \\ b; \ x_4 = 0, \ x_3 = 1, \ x_2 = 0, \ x_1 = 1, \ x_0 = 1 \\ f = x_4 \\ x_2 + x_3 \\ x_0 + x_2 \\ x_1 + x_3 + x_1 + x_0 + 1 \\ f = 0 \\ \cdot 0 + 1 \\ \cdot 1 + 0 \\ \cdot 1 + 1 + 1 + 1 \\ \end{array}$$

 $k = 01001_b$  in *Gray-code* order

$$f = x_4 x_2 + x_3 x_0 + x_2 x_1 + x_3 + x_1 + x_0 + 1 f = 0 \cdot 0 + 1 \cdot 1 + 0 \cdot 0 + 1 + 0 + 1 + 1 f = f(01011_b) - 0 \cdot 1 - 1 + 0 \cdot 0 + 0$$



$$k = 01010_b; \ x_4 = 0, \ x_3 = 1, \ x_2 = 0, \ x_1 = 1, \ x_0 = 0$$

$$f = x_4 x_2 + x_3 x_0 + x_2 x_1 + x_3 + x_1 + x_0 + 1$$

$$f = 0 \cdot 0 + 1 \cdot 0 + 0 \cdot 1 + 1 + 1 + 0 + 1$$

$$k = 01011_b; x_4 = 0, x_3 = 1, x_2 = 0, x_1 = 1, x_0 = 1$$
  

$$f = x_4 x_2 + x_3 x_0 + x_2 x_1 + x_3 + x_1 + x_0 + 1$$
  

$$f = 0 \cdot 0 + 1 \cdot 1 + 0 \cdot 1 + 1 + 1 + 1 + 1$$

 $k = 01001_b$  in *Gray-code* order



### **Full-Evaluation Approach**

- Evaluate the whole equation for each possible input.
- Time Complexity:  $O(2^n n^2)$
- Memory Complexity: O(n)

### Full-Evaluation Approach

- Evaluate the whole equation for each possible input.
- Time Complexity:  $O(2^n n^2)$
- Memory Complexity: O(n)

## **Gray-Code Approach**

- Only re-compute those parts of the equation that have changed.
- Enumerate input vector in Gray-code order.
- Update solution using the derivatives of the involved variables.
- Time Complexity: O(2<sup>n</sup>m)
- Memory Complexity:  $O(n^2m)$

## Trade computation for memory.



### **Basic idea:**

- Extend the original  $\mathcal{MQ}$  system to a system with a degree D lower than the degree required for XL.
- Derive a sub-system that has at most degree *d* in the first *k* variables.
- Solve this sub-system by iterating over the remaining n k variables and solving the resulting degree-*d* system in *k* variables in each iteration.

For d = 1, this requires to only solve a linear system in k variables for each assignment of n - k variables.



#### Example

By fixing the last two variables  $x_3$  and  $x_4$  to, e.g.,  $x_3 = 0$  and  $x_4 = 0$ , the sub-system

$$S = \begin{cases} x_1 x_4 + x_2 x_3 + x_1 + x_3 + x_4 = 0\\ x_1 x_3 + x_3 x_4 + x_2 + 1 = 0\\ x_2 x_3 + x_2 x_4 + x_3 x_4 + x_1 + x_4 = 0 \end{cases}$$

becomes a linear system in  $x_1$  and  $x_2$ .



### Example

By fixing the last two variables  $x_3$  and  $x_4$  to, e.g.,  $x_3 = 0$  and  $x_4 = 0$ , the sub-system

$$S = \begin{cases} x_1 x_4 + x_2 x_3 + x_1 + x_3 + x_4 = 0\\ x_1 x_3 + x_3 x_4 + x_2 + 1 = 0\\ x_2 x_3 + x_2 x_4 + x_3 x_4 + x_1 + x_4 = 0 \end{cases}$$

becomes a linear system in  $x_1$  and  $x_2$ .

### Fast enumeration:

Enumerate all possible assignements for the fixed variables using Gray-code enumeration.



→ C O A https://www.mqchallenge.org								
		Type IV	Туре V	Type VI				
	Number of Variables (n)	Seed (0,1,2,3,4)	Date	Contestants	Computational Resource	Data		
1	74	0	2016/12/17	Antoine Joux	New hybridized XL related algorithm, Heterogeneous cluster of Intel Xeon @ 2.7-3.5 Ghz	Details		
	74	4	2017/11/15	Kai-Chun Ning, Ruben Niederhagen	Parallel Crossbred, 54 GPUs in the Saber cluster	Details		
	74	2	2020/05/07	Yao Sun	Improved Parallel Crossbred, Intel i7 8700, GeForce GTX 1080Ti	Details		

For n = 74 variables:

- Joux and Vitse 2016: 18 hours on 448 cores (expected: 180 hours)
- Ning and Niederhagen 2017:
   33 hours on 54 GPUs (expected: 76 hours)
- Sun 2020: 82 hours on 10 GPUs (expected: 113 hours)



# Thank you very much for your attention!